

# Longitudinal and transverse forces on a vortex in superfluid $^4\text{He}$

H M Cataldo, M A Despósito, and D M Jezek

Departamento de Física, Facultad de Ciencias Exactas y Naturales,  
Universidad de Buenos Aires, RA-1428 Buenos Aires, Argentina  
and Consejo Nacional de Investigaciones Científicas y Técnicas, Argentina

## Abstract.

We examine the transverse and longitudinal components of the drag force upon a straight vortex line due to the scattering of liquid  $^4\text{He}$  excitations. For this purpose, we consider a recently proposed Hamiltonian that describes the dissipative motion of a vortex, giving an explicit expression for the vortex-quasiparticle interaction. The involved dissipative coefficients are obtained in terms of the reservoir correlation function. Most of our explicit calculations are concerned to the range of temperatures below 0.4 K, at which the reservoir is composed by phonon quasiparticle excitations. We also discuss some important implications in the determination of possible scattering processes leading to dissipation, according to the values of vortex mass found in the literature.

PACS numbers: 67.40.Fd, 05.40.+j, 67.40.Vs, 67.40.Db

Submitted to: *J. Phys.: Condens. Matter*

Although it is well established that vortex dynamics plays an important role in the behavior of superfluids, there are many controversial results in the literature about its dissipative motion. At zero temperature the motion of the vortex is provoked by the transversal Magnus force, but at finite temperatures the scattering of collective excitations by the vortex provides a dissipation mechanism that damps its cyclotron motion. It is widely accepted that for low enough temperatures, and only taking into account phonon-vortex scattering, the longitudinal component of this dissipative force behaves as fifth power in temperature [1]. Nevertheless, the form of the transverse component is still controversial [2] since very different results were reported so far and it does not appear that any of them can be regarded as definitive.

In this context, we have recently presented [3] a model for the dissipation of a straight vortex line in superfluid  $^4\text{He}$  in which the vortex is regarded as a macroscopic quantum particle whose irreversible dynamics can be described in the frame of Generalized Master Equations. This procedure enables us to cast the effect of the coupling between vortex and heat bath as a drag force with one reactive and one dissipative component, in agreement with phenomenological theories. In this paper we shall investigate the components of the drag force considering that the reservoir is composed by the quasiparticle (qp) excitations of the superfluid. The dissipative vortex dynamics arises then from the scattering processes occurring between the vortex and the qp's. Most of our explicit calculations will be concerned to the range of temperatures below 0.4 K, at which the qp excitations constitute a phonon reservoir[4].

Let us begin by performing a description of our model. Choosing a coordinate system fixed to the superfluid, the Hamiltonian for a straight vortex line parallel to the  $z$ -axis, may be written as [3, 4]

$$H_v = \frac{1}{2m_v} [\mathbf{p} - q_v \mathbf{A}(\mathbf{r})]^2, \quad (1)$$

where  $\mathbf{r}$  and  $\mathbf{p}$  denote respectively the vortex position and momentum operators,

$$\mathbf{A}(\mathbf{r}) = \frac{\hbar \rho_s l}{2} (y, -x) \quad (2)$$

is the vector potential for the Magnus force,  $m_v$  is the inertial mass of the vortex,  $\rho_s$  the number density of the superfluid,  $\hbar$  Planck's constant,  $l$  the system length along the  $z$ -axis and  $q_v = \pm 1$  the sign of the vorticity according to the right handed convention.

In what follows we shall consider the excitations to be at rest, so that the velocity of the normal fluid vanishes. In a previous work [5] we have considered an interaction Hamiltonian of the form

$$H_{int} = -\mathbf{B} \cdot \mathbf{v} \quad (3)$$

where  $\mathbf{B}$  and  $\mathbf{v}$  are vectors that depend on the reservoir and vortex operators respectively. We have proven that the only linear combination of the vortex observables that leads to a dynamics in accordance with phenomenological descriptions is,

$$\mathbf{v} = \left( \frac{p_x}{m_v} - \frac{\Omega}{2} y, \frac{p_y}{m_v} + \frac{\Omega}{2} x \right), \quad (4)$$

being  $\Omega$  the “cyclotron frequency”,

$$\Omega = \frac{q_v \hbar \rho_s l}{m_v}. \quad (5)$$

In order to obtain an equation of motion for the mean value of the complex vortex position operator  $R = x + iy$ , in previous works [3, 5] we have derived by means of a standard reduction-projection procedure, a generalized master equation for the density operator of the vortex. We have employed a usual weak-coupling approximation, in which the vortex dynamics is affected by the reservoir degrees of freedom only through the second order time correlation tensor  $\langle \mathbf{B}(t) \mathbf{B} \rangle$ , where the angular brackets indicate an average over the reservoir equilibrium ensemble and  $\mathbf{B}(t)$  denotes a free time evolution for the reservoir operators. In addition, such tensor is naturally assumed to be isotropic in the  $x - y$  plane. We have also made use of the Markovian approximation which assumes that such correlations are short lived within observational times. From such master equation, we then extracted equations of motion for the expectation values of vortex position and momentum operators. Finally, after some algebra and by elimination of the momentum, we obtained the desired equation for  $\langle R(t) \rangle$  [3, 5]:

$$m_v \langle \ddot{R} \rangle = i(m_v \Omega + \gamma) \langle \dot{R} \rangle. \quad (6)$$

The complex coefficient  $\gamma$  is defined as

$$\gamma = \frac{2\Omega}{\hbar} \int_0^\infty d\tau \psi(\tau) e^{-i\Omega\tau}, \quad (7)$$

where  $\psi(\tau)$  is the imaginary part of the time correlation isotropic tensor element,

$$\psi(\tau) = \mathcal{I}m(\langle B_j(\tau) B_j \rangle). \quad (8)$$

In the right hand side of equation (6) one can identify two forces, namely the Magnus force [2] and the drag force. In particular, the drag force

$$F_D = i\gamma \langle \dot{R} \rangle \quad (9)$$

has two components. One is parallel to the Magnus Force which we shall call the Transverse Force (TF) :

$$F_T = i \mathcal{R}e(\gamma) \langle \dot{R} \rangle, \quad (10)$$

and the other one is parallel to the velocity and we shall refer to it as the Longitudinal Force (LF) :

$$F_L = -\mathcal{I}m(\gamma) \langle \dot{R} \rangle. \quad (11)$$

We want to recall that it is well known that the LF must be opposite to the velocity (in our notation this means  $\mathcal{I}m(\gamma) > 0$ ). With respect to the TF great controversy still exists upon its direction [1, 2, 6] or even more, upon its proper existence [7, 8].

In the present work, the reservoir vector operator  $\mathbf{B}$  of Eq. (3) is chosen as to describe qp scattering by the vortex. In terms of creation  $a_{\mathbf{q}}^+$  and annihilation  $a_{\mathbf{q}}$  operators for a qp of momentum  $\mathbf{q}$  it reads,

$$\mathbf{B} = \sum_{\mathbf{k}, \mathbf{q}} (\mathbf{k} - \mathbf{q}) \Lambda_{k,q} a_{\mathbf{k}}^+ a_{\mathbf{q}}, \quad (12)$$

being  $\mathbf{k} - \mathbf{q}$  the transferred momentum and  $\Lambda_{k,q}$  a vortex-qp coupling constant which, in order to preserve isotropy, is assumed to depend only on the modulus of qp momentum ( $q = |\mathbf{q}|$ ).

To calculate the drag coefficients we must first compute the correlation function in (8). Taking into account the time evolution  $a_{\mathbf{q}}(t) = a_{\mathbf{q}}(0)e^{-i\omega_q t}$  and after some calculations, we have

$$\langle B_j(t) B_j \rangle = \frac{1}{2} \sum_{\mathbf{k}, \mathbf{q}} |\Lambda_{k,q}|^2 (k^2 + q^2) e^{i(\omega_k - \omega_q)t} n(w_k) [1 + n(w_q)] , \quad (13)$$

where  $n(w_q)$  denotes the mean number of qp's of energy  $\hbar\omega_q$ ,

$$n(w_q) = \langle a_{\mathbf{q}}^+ a_{\mathbf{q}} \rangle = \frac{1}{e^{\beta\hbar\omega_q} - 1} . \quad (14)$$

Note that at temperature  $T = 0$  the correlation function (13) vanishes due to the factor  $n(w_k)$ , yielding a vanishing drag force as expected. This behavior would not be reproduced by a linear interaction in the qp operators, as for example the one proposed in the Hamiltonian of Ref. [9].

The parameter  $\gamma$  (Eq. (7)) can be written in terms of the Fourier transform  $\psi[w]$  of the imaginary part of the correlation function (13) as

$$\mathcal{R}e(\gamma) = \frac{4}{i\hbar} \Omega \mathcal{P} \int_0^\infty dw \frac{w}{\Omega^2 - w^2} \psi[w] \quad (15)$$

$$\mathcal{I}m(\gamma) = \frac{2\pi}{i\hbar} \Omega \psi[\Omega] \quad (16)$$

where  $\mathcal{P}$  refers to the principal part and

$$\psi[w] = \frac{i}{4} \sum_{\mathbf{k}, \mathbf{q}} (k^2 + q^2) |\Lambda_{k,q}|^2 [n(w_q) - n(w_k)] \delta(w_k - w_q - w) . \quad (17)$$

The above expressions (16) and (17) for the dissipative coefficient in (11) can be interpreted from the scattering processes embodied in our interaction Hamiltonian. In fact, we first note that the vortex Hamiltonian (1) has an equally spaced level spectrum of separation  $\hbar\Omega$  (the so-called Landau levels[10]) and, in addition, the operator  $\mathbf{v}$  which couples the vortex to the reservoir qp's, can be expressed from (4) as a linear combination of creation and destruction operators of a vortex energy quantum[10]. Thus, according to (3) and (12), we may identify the scattering processes embodied in our model as those involving one vortex energy quantum  $\hbar\Omega$  jointly with the creation and destruction of one qp. Such processes can also be identified from (17) as follows. Each term proportional to  $n(w_q)$  represents the process by which a qp of energy  $\hbar\omega_q$  combines with a vortex quantum  $\hbar\Omega$  to form a qp of energy  $\hbar\omega_k = \hbar\omega_q + \hbar\Omega$  (this may be seen from (16) and the Dirac delta in (17)). The weight  $n(w_q)$  of this process can be easily understood by taking into account the *thermal* origin of the “incoming” qp of energy  $\hbar\omega_q$  in contrast to the *interaction* origin of the “outgoing” qp of energy  $\hbar\omega_k$ . Similarly, the terms weighted by  $n(w_k)$  in (17) represent the annihilation of a qp of energy  $\hbar\omega_k$  jointly with the creation of a vortex quantum  $\hbar\Omega$  and a qp of energy  $\hbar\omega_q$ . Finally, we note that the positive sign of the dissipative coefficient  $\mathcal{I}m(\gamma)$  arises from the factor  $n(w_q) - n(w_k) > 0$  in (17)

i. e., the weight of processes involving vortex energy loss must be greater than those causing vortex energy gain.

At low enough temperatures it is to be expected that only scattering processes involving phonons should be relevant. Therefore, we shall use the phonon dispersion relation  $w_q = c_s q$  (being  $c_s$  the sound velocity) and impose a cutoff momentum to the qp's. This amounts to neglect all the scattering processes which yield not a phonon as the “outgoing” qp. Note that the “incoming” *thermal* qp will be surely a phonon. Under such an approximation Eq. (17) can be written as,

$$\begin{aligned} \psi[w] &= \frac{i}{4c_s^2} \int_0^\infty dw' S(w', w' + w) [w'^2 + (w' + w)^2] \\ &\times [n(w') - n(w' + w)] \end{aligned} \quad (18)$$

where we introduce the so-called scattering function [11], defined as

$$S(w', w'') = \sum_{\mathbf{k}, \mathbf{q}} |\Lambda_{\mathbf{k}, \mathbf{q}}|^2 \delta(w' - w_q) \delta(w'' - w_k) \quad (19)$$

and being related to the scattering of the environmental excitations between states of frequencies  $w'$  and  $w''$ .

The integral in (18) has to be solved numerically except in the limit  $T \rightarrow 0$ . In fact, for  $\hbar w/k_B T \rightarrow \infty$  the gain term  $n(w' + w)$  can be neglected and the loss one  $n(w')$  “filters” all but the lowest frequency “incoming” phonons. This means that the factors accompanying  $n(w')$  in (18) can be approximated to lowest order in  $w'$ . In particular, the scattering function (19) is assumed to be a continuous symmetric function of both variables [11] satisfying  $S(w', w) \simeq S(w) w'^p$  for  $w' \rightarrow 0$ . Thus equation (18) can be approximated for  $T \rightarrow 0$  as follows,

$$\begin{aligned} \psi[w] &\simeq \frac{i}{4c_s^2} w^2 S(w) \int_0^\infty dw' w'^p n(w') = \\ &= \frac{i}{4c_s^2} p! \zeta(p+1) w^2 S(w) \left( \frac{k_B T}{\hbar} \right)^{p+1}, \end{aligned} \quad (20)$$

where  $\zeta(n)$  ( $n \geq 2$ ) denotes the Riemann zeta function.

It is convenient to notice that our model is unable to provide an *a priori* explicit form for the scattering function, because we treat vortex and qp excitations as separate entities which are assumed to interact by means of a generic Hamiltonian. Any additional information should be based upon experimental results or more fundamental theories. In fact, for the lowest temperature domain, only theoretical results are at present available and they predict a  $T^5$  dependence for the LF [1]. Hence, we set  $p = 4$  in (20) and accordingly a low frequency  $\sim w^4$  behavior for the scattering function. To perform numerical calculations which illustrate our results we shall assume a simple form for the scattering function (19), as a generalization of the usual super-Ohmic dissipation with an exponential cutoff [12]:

$$S(w', w'') \propto w'^4 e^{-w'/w_o} w''^4 e^{-w''/w_o}, \quad (21)$$

being  $w_o$  a frequency cutoff parameter which allows us to select only the phonon part of the  $^4\text{He}$  qp excitations spectrum i. e., the frequencies below  $w_c \simeq 1.2 \text{ ps}^{-1}$ . This is evident from Fig. 1 where we plot the one variable scattering function  $S(w, w)$  for  $w_o = 0.06 \text{ ps}^{-1}$ . In addition, we plot the frequency spectrum  $f(w)$  of the normal fluid density (i. e.,  $\rho_n = \int_0^\infty f(w)dw$ ) for  $T = 0.4 \text{ K}$ , which shows that at most the first half of the phonon spectrum makes a relevant contribution to the qp excitations according to a similar behavior for the one variable scattering function.

From Eqs. (15) and (16) we note that the value of cyclotron frequency, which in turn depends on the vortex mass  $m_v$ , (see Eq. (5)) is necessary for the determination of the drag force. Unfortunately, there is also a controversy regarding the calculation of the vortex mass[9, 13, 14, 15] that makes the possible value of  $\Omega$  to range from a lower bound[13]  $\Omega_{min} \simeq 0.1 \text{ ps}^{-1}$  to the upper bound[4]  $\Omega_{max} \simeq 3 \text{ ps}^{-1}$ . This suggests that a study of the drag force dependence on  $\Omega$  could be illustrative.

In Fig. 2 we show the coefficients of both, the transverse and the longitudinal components of the force, that is  $\mathcal{Re}(\gamma)$  and  $\mathcal{Im}(\gamma)$  respectively, as functions of  $\Omega$  for different temperatures. We see that, apart from a change of scale, the shape of curves does not change appreciably along the temperature range  $0 < T \leq 0.4 \text{ K}$  that we are considering. The only effect seems to be a shift to low frequencies with increasing temperatures (note that for a fixed vortex mass, the cyclotron frequency (5) decrease with increasing temperature due to the factor  $\rho_s$ , however this variation is negligible for  $T < 0.4 \text{ K}$ ).

Regarding  $\mathcal{Im}(\gamma)$  we first indicate that according to (16), the plots of this function in Fig. 2 turn out to be proportional to the Fourier transform of  $\dot{\psi}(t)$ . In addition, we notice that  $\mathcal{Im}(\gamma)$  vanishes for  $\Omega > w_c$ . Such absence of dissipation is simply understood as a direct consequence of energy conservation in the scattering processes. This is imposed by the Dirac delta in (17), since it reflects the fact that phonon-to-phonon scattering events can only take place if the vortex energy quantum does not exceed the most energetic phonon of the spectrum. Therefore, we may conclude that dissipation due to phonon $\rightarrow$ phonon scattering should be negligible unless the vortex mass yields a value of cyclotron frequency less than  $w_c \simeq 1.2 \text{ ps}^{-1}$ , which is an intermediate value between the quoted frequencies  $\Omega_{min}$  and  $\Omega_{max}$ . Moreover, the value  $\hbar\Omega_{max}$ , arising from a usual hydrodynamical prescription for the calculation of the vortex mass[4], exceeds the energy of any undamped qp excitation[16]. So, we may extend our previous conclusion by saying that any dissipation via qp $\rightarrow$ qp scattering events should be negligible for such value of vortex mass, suggesting thus a possible scenario of dissipation via multi-qp scattering processes[17].

Regarding the TF, we remark that if it were possible to draw some experimental information about at least the direction of this component, this would also shed more light on the value of the vortex mass. In fact, from Fig. 2 we note that at intermediate values between  $\Omega_{min}$  and  $w_c$ , the TF changes its direction. In particular, a positive (negative) value of this component implies  $\Omega > 0.45 \text{ ps}^{-1}$  ( $\Omega < 0.3 \text{ ps}^{-1}$ ), while a change of sign yields some intermediate value of  $\Omega$ . Unfortunately no experimental data

are available for temperatures below 0.4 K.

It is important to note, in concluding this report, that our study has been restricted to a strictly rectilinear vortex, neglecting thus any possible contribution to the forces arising from vortex line curvatures. Classically, vortex lines can be deformed as helical waves known as Kelvin waves, and there is experimental evidence of similar modes for quantized vortices in Helium II [4]. From the theoretical viewpoint, such waves could be regarded as a particular form of elementary excitation bound to the vortex. In fact, it has been shown that the elementary excitation spectrum of an imperfect Bose gas in presence of a straight vortex line consists of both, phonons and Kelvin-like waves [18]. Viewed from this perspective, being two different kinds of independent elementary excitations, the consequence of scattering processes involving phonons and Kelvin modes appears eventually as a possible secondary effect upon the values of longitudinal and transverse forces. This issue has been scarcely addressed in the literature. In particular, Sonin has long ago performed a simplified study [19] neglecting the vortex velocity due to Kelvin modes, but taking into account the effect of such modes on the scattering of phonons by means of an average over a classical Rayleigh-Jeans distribution for the oscillations of the vortex filament. He concluded that such effect could at most modify the calculated forces for a strictly rectilinear vortex in 1.7 % at  $T = 0.5\text{K}$ . Unfortunately, within our formalism a quantitative study of the dynamics of a true three-dimensional curved vortex filament, exhibits a high degree of difficulty.

In summary, starting from a microscopic model we have performed a calculation of the drag force on a moving vortex due to the scattering of qp excitations at temperatures below 0.4 K. We have also discussed, by analyzing both the longitudinal and transverse forces as functions of the cyclotron frequency, some important implications in the determination of possible scattering processes leading to dissipation, according to the values of vortex mass found in the literature.

## Acknowledgments

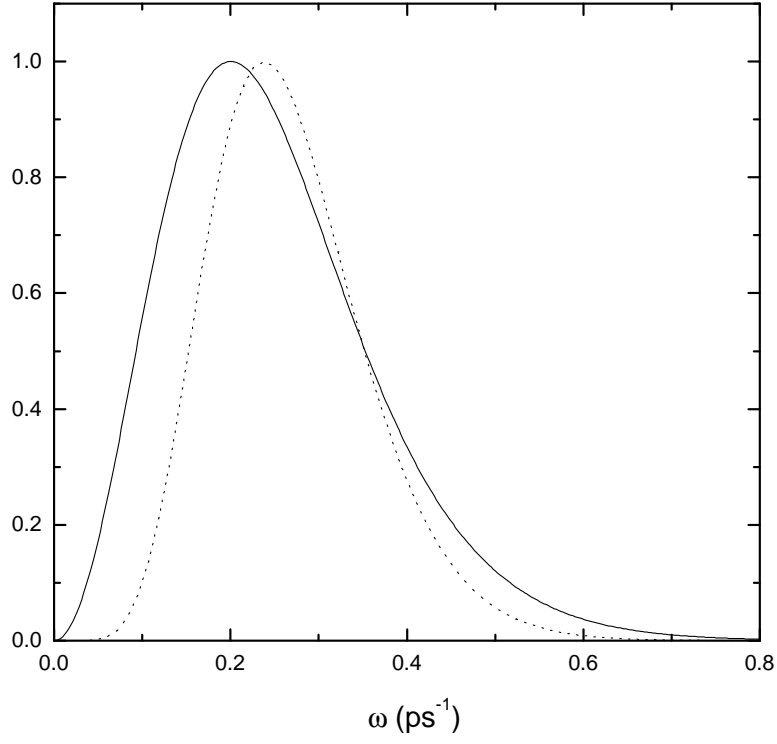
This work was supported by grant PEI 0067/97 from Consejo Nacional de Investigaciones Científicas y Técnicas, Argentina.

## References

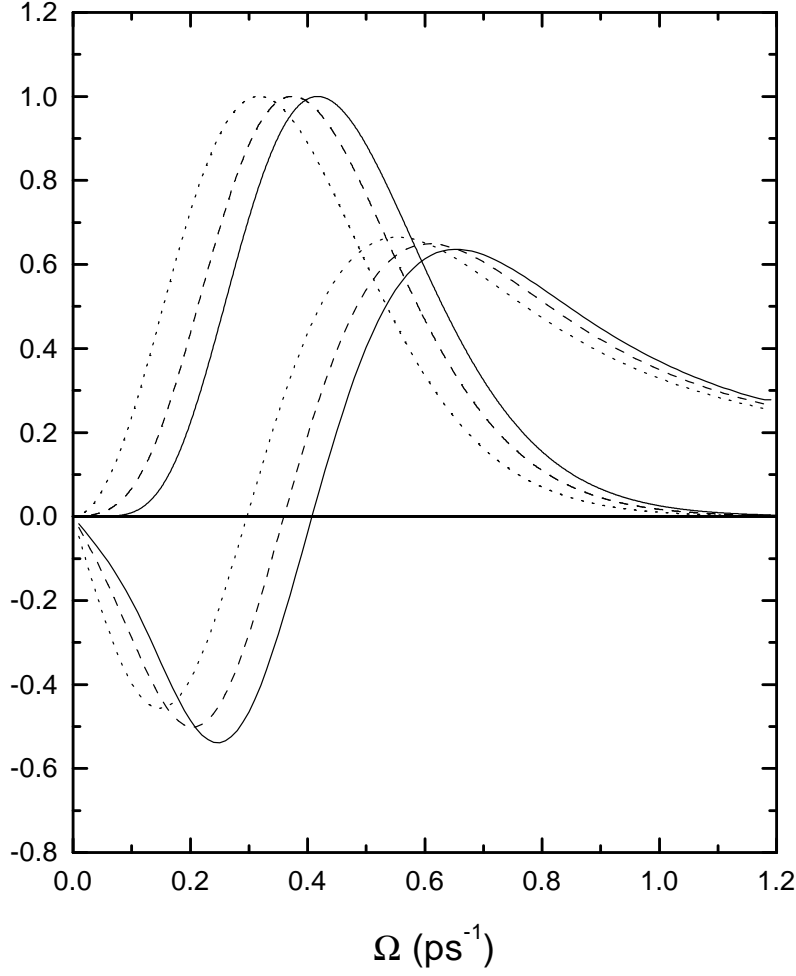
- [1] Iordanskii S V 1966 *Sov. Phys. JETP* **22** 160
- [2] Sonin E B 1997 *Phys. Rev. B* **55** 485
- [3] Cataldo H M, Despósito M A, Hernández E S and Jezek D M 1997 *Phys. Rev B* **55** 3792
- [4] Donnelly R J 1991 *Quantized Vortices in Helium II* (Cambridge: Cambridge University Press)
- [5] Cataldo H M, Despósito M A, Hernández E S and Jezek D M 1997 *Phys. Rev B* **56** 8282
- [6] Pitaevskii L P 1959 *Sov. Phys. JETP* **8** 888
- [7] Thouless D J, Ao P and Niu Q 1996 *Phys. Rev. Lett.* **76** 3758
- [8] Volovik G E 1996 *Phys. Rev. Lett.* **77** 4687
- [9] Niu Q, Ao P and Thouless D J 1994 *Phys. Rev. Lett.* **72** 1706

- [10] Landau L D and Lifshitz E M 1965 *Quantum Mechanics, Nonrelativistic Theory* (Oxford: Pergamon Press) chap. XVI; Cohen-Tannoudji C, Diu B and Laloë F 1977 *Quantum Mechanics* (New York: Wiley) vol. I, chap. VI
- [11] Castro Neto A H and Caldeira A O 1992 *Phys. Rev. B* **46** 8858
- [12] Weiss U 1993 *Quantum Dissipative Systems* (Singapore: World Scientific)
- [13] Duan J M 1994 *Phys. Rev. B* **49** 12381
- [14] Duan J M 1995 *Phys. Rev. Lett.* **75** 974
- [15] Niu Q, Ao P and Thouless D J 1995 *Phys. Rev. Lett.* **75** 975
- [16] Excitations above two times the roton energy should be unstable towards decay into two rotons. See, Pistolesi F 1998 *Phys. Rev. Lett.* **81** 397, and references therein.
- [17] Notice that such conclusions are based upon the Dirac delta energy conserving in (17) that derives from the Markov approximation. The lifetime for heat bath correlations ( $\psi(t)$ ) can be estimated from the inverse of the frequency cutoff in (17) and it is determined by the highest undamped qp energy which is two times the roton energy. This yields a lifetime of order 0.4 ps which should be less than any observation time in the time scale of dissipation  $m_v/\mathcal{I}m(\gamma)$ . Such condition should be met for a sufficiently weak vortex-qp coupling, or equivalently for low enough temperatures. From Donnelly's book[4] we may identify  $\frac{\mathcal{I}m(\gamma)}{l} = \gamma_0$ , where the parameter  $\gamma_0$  is a well decreasing function for temperatures below 2K. The lowest temperature measure of  $\gamma_0$  (1.3K) yields  $\frac{m_v}{\mathcal{I}m(\gamma)} = \frac{q_v h \rho_s}{\Omega \gamma_0} = 26.8 \Omega^{-1}$ . Taking into account a  $\Omega^{-1}$  value of at least  $\frac{1}{3}$  ps, it is clear that the Markov approximation is very likely to be valid for temperatures below 1.3K.
- [18] Pitaevskii L P 1961 *Sov. Phys. JETP* **13** 451; Fetter A L 1965 *Phys. Rev.* **138** A709.
- [19] Sonin E B 1976 *Sov. Phys. JETP* **42** 469.





**Figure 1.** One variable scattering function,  $S(w, w)$  for  $w_o=0.06$  ps<sup>-1</sup> (dotted line) and frequency spectrum  $f(w)$  of the normal fluid density for T=0.4 K (solid line). Different scales were used for each function in order to normalize the size of both peaks.



**Figure 2.** Coefficients of the TF ( $\mathcal{R}e(\gamma)$ ) and the LF ( $\mathcal{I}m(\gamma)$ ) for  $T \rightarrow 0$  (Eq. (20)) (solid line);  $T = 0.1K$  (dashed line) and  $T = 0.4K$  (dotted line). Different scales were used for each temperature in order to normalize the size of the  $\mathcal{I}m(\gamma)$  peak. The relative scale factors are 105.82 and infinity for  $T = 0.4K/T = 0.1K$  and  $T = 0.1K/T \rightarrow 0$ , respectively. The exponential parameter  $w_o=0.06 \text{ ps}^{-1}$  was used in Eq. (21).